PTO/SB/17 (01-03)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark office: U.S. DEPARTMENT OF COMMERCE
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CEE TO A NOME	TTAL	Complete if Known						
FEE TRANSMI	IIIAL	Application Number	09/703,253					
for FY 2003		Filing Date	10/31/00					
		First Named Inventor	Harras					
Patent fees are subject to annual r	revision.	Examiner Name	R. Landsman					
X Applicant claims small entity status	s. See 37 CFR 1.27	Group Art Unit	1647					
TOTAL AMOUNT OF PAYMENT	(\$) 160.00	Attorney Docket No.	LEX-0081-USA					
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X Depos	it Acc	ount				Code	(\$)	Code	(\$)	Fee Description	Fee Paid
I. —						1051	130	2051	65	Surcharge - late fling fee or oath	
Deposit Account Number	50-0	892				1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Deposit Account Name	Lexi	con Gen	etics	Incorporated		1053	130	1053	130	Non-English specification	
The Commiss	sioner	is autho	rized 1	to: (check all that apply)		1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
X Charg	ge fee(	s) indicat	ed bel	low X Credit any ov	erpayments	1804	920°	1804	920*	Requesting publication of SIR prior to Examiner	
X Charg	ge any	additiona	ıl fee(s	s) during the pendency of	this application	1805	1,840*	1805	1,840**	action Requesting publication of SIR after Examiner action	
Charg	ge fee(	s) indicat	ed bel	low, except for the filing	fee	1251	110	2251	55	Extension for reply within first month	
to the above-i	identifi	ed depos	it acco	ount.		1252	410	2252	205	Extension for reply within second month	
		FEE	CAI	LCULATION		1253	930	2253	465	Extension for reply within third month	
1. BASIC	FILI	NG FE	E			1254	1,450	2254	725	Extension for reply within fourth month	
Large Entity	y   s	Small Er	itity	_		1255	1,970	2255	985	Extension for reply within fifth month	
Fee Fe Code (\$		Fee Code	Fee (\$)	Fee Description	Fee Paid	1401	320	2401	160	Notice of Appeal	
1001 75	0   :	2001	375	Utility filing fee		1402	320	2402	160	Filing a brief in support of an appeal	160.00
1002 33	0   :	2002	165	Design filing fee		1403	280	2403	140	Request for oral hearing	
1003 520	o   :	2003	260	Plant filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1004 750	o   :	2004	375	Reissue filing fee		1452	110	2452	55	Petition to revive - unavoidable	
1005 166	o   a	2005	80	Provisional filing fee		1453	1,300	2453	650	Petition to revive - unintentional	
				SUBTOTAL (1) (\$)		1501	1,280	2501	640	Utility issue fee (or reissue)	
2. EXTRA	CLA	AIM FE	ES F	OR UTILITY AND	REISSUE	1502	460	2502	230	Design issue fee	
			Extra (	Fee from Claims below	Fee Pald	1503	620	2503	310	Plant issue fee	
Total Claims		-20**=	Γ	x =		1460	130	1460	130	Petitions to the Commissioner	
Independent Claims		-3**=		x =		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Multiple Depe	enden	t		=		1806	180	1806	180	Submission of Information Disclosure Stmt	
Large Ent	ity	Small E	ntity			8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Fee Fe Code (\$		Fee Code	Fee (\$)	Fee Descrip	tion	1809	740	2809	370	Filing a submission after final rejection (37 ČFR § 1.129(a))	
1202 18		2202	9	Claims in excess of 20						For each additional invention to be	
1201 84 1203 28		2201 2203	42	Independent claims in e		1810	740	2810	370	examined (37 CFR § 1.129(b))	
1204 84	· 1	2203 2204	140 42	**Reissue independent clair over original patent		1801	740	2801	370	Request for Continued Examination (RCE)	
1205 18	в I	2205	9	** Reissue claims in exc and over original pate		1802	900	1802	900	Request for expedited examination	
	'		6111	(m)		Other f	l ee (specif	y)		of a design application	
			SUI	BTOTAL (2) (5)				·· —		SUBTOTAL (2) (\$) 16	30.00
**or number previously paid, if greater, For Reissues, see above					*Reduc	ed by Bas	sic Filing	Fee Pai	d SUBTOTAL (3)	50.00	

SUBMITTED BY		Complete (if applicable)				
Name (Print/Type)	Lance K. Ishimoto	Registration No. (Attorney/Agent)	41,866	Telephone	(281) 863-3333	
Signature	And Swaley Polical	lexeras Lexubyo162	eleriau	Date	September 18, 2003	

**Customer # 24231** 

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		Application Number	r	09/703,253					
TRANSMITT	AL	Filing Date		10/31/00					
FORM		First Named Invent	or	Harras					
		Group Art Unit		1647					
(to be used for all correspondence after	er initial filing)	Examiner Name		R. Landsman					
Total Number of Pages in This Sub	omission 30	Attorney Docket Nur	nber	LEX-0081-USA					
ENCLOSURES (check all that apply)									
Fee Transmittal Form	Assignment (for an App			After Allowance Communication to Group					
Fee Attached	Drawing(s)	)		Appeal Communication to Board of Appeals and Interferences					
Amendment / Reply	Licensing-	related Papers	X	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
After Final	Petition			Proprietary Information					
Affidavits/declaration(s)	1 1	Convert to a I Application		Status Letter					
Extension of Time Request		Attorney, Revocation Correspondence Address	X	Other Enclosure(s) (please identify below):					
Express Abandonment Request	I Torminal Disalaimer			urn Postcard ibits A - R					
Information Disclosure Statement	Request for Refund								
Certified Copy of Priority Document(s)	CD, Number of CD(s)								
Response to Missing Parts/ Incomplete Application	Remarks	Everything fil	ed ir	triplicate					
Response to Missing Parts under 37 CFR 1.52 or 1.53	С	ustomer # 24231		,5+					
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Firm Lexicon Genetics		PLICANT, ATTORNEY	, OR AC	IENI					
or Individual name Lance K. Ishimoto	o Rea. N	o. 41,866							
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Date September 18, 20	•	100914) 9 0102		or g sear that					
	CERT	IFICATE OF MAILING							
I hereby certify that this correspondence is Express Mail (EV 232149335 US) in an bo VA 22313 on this date:	s being deposited	with the United States Po	stal Serv	p Appeal Brief, P.O. Box 1450, Alexandria,					
Typed or printed name Nancy Stacey				September 18, 2003					
Signature Name Name States	Stace	a	Date	September 18, 2003					

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· ( )	Incar the Paperwork Reduction Act of	995, no persons a	re requii	ed to res	J.S. Pat pond to	ent and	Approved for use through 10/31/2002. OM Trademark office: U.S. DEPARTMENT OF Cation of information unless it displays a valid	B 0651-0032 COMMERCE OMB control number.
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	Patent fees are subject to annual	revision.		Exam	iner N	ame	R. Landsman	\$ (
X A	oplicant claims small entity status	s. See 37 CFR	1.27	Group	Art U	Init	1647	E .
TOTAL A	MOUNT OF PAYMENT	(\$) 160.00		Attorney Docket No. LEX-0081-USA				
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Dit			1051	130	2051	65	Surcharge - late fling fee or oath	
Deposit Account Number	50-0892		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Deposit Account Name	Lexicon Genetics Incorporated		1053	130	1053	130	Non-English specification	
The Commis	sioner is authorized to: (check all that app	oly)	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	• -
X Char	ge fee(s) indicated below X Credit any	overpayments	1804	920*	1804	920°	Requesting publication of SIR prior to Examiner action	
x Char	ge any additional fee(s) during the pendenc	y of this application	1805	1,840*	1805	1,840**	Requesting publication of SIR after Examiner action	
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The Commiss	loner	is autho	orized t	to: (check all that apply)	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
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x Charge any additional fee(s) during the pendency of this application						1,840*	1805	1,840**	Requesting publication of SIR after Examiner action	
Charge	Charge fee(s) indicated below, except for the filing fee				1251	110	2251	55	Extension for reply within first month	
to the above-id	dentifie	ed depo	sit acco	ount.	1252	410	2252	205	Extension for reply within second month	
		FEE	E CAL	LCULATION	1253	930	2253	465	Extension for reply within third month	
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Fee Fee Code (\$)		Fee ode	Fee (\$)	Fee Description Fee Paid	1401	320	2401	160	Notice of Appeal	160.00
1001 750	2	001	375	Utility filing fee	1402	320	2402	160	Filing a brief in support of an appeal	,
1002 330	2	002	165	Design filing fee	1403	280	2403	140	Request for oral hearing	(
1003 520	2	003	260	Plant filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1004 750	2	004	375	Reissue filing fee	1452	110	2452	55	Petition to revive - unavoidable	í
1005 160	2	005	80	Provisional filing fee	1453	1,280	2453	640	Petition to revive - unintentional	
				SUBTOTAL (1) (\$)	1501	1,280	2501	640	Utility issue fee (or reissue)	
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Total Claims		-20**		x =	1460	130	1460	130	Petitions to the Commissioner	
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Multiple Depe	ndent			=	1806	180	1806	180	Submission of Information Disclosure Stmt	
Large Enti	ty s	mall E	Entity		8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
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1202 18	. 2	2202	9	Claims in excess of 20					For a selected different formation to be	
1201 84	- 1 -	2201	42	Independent claims in excess of 3	1810	740	2810	370	For each additional invention to be examined (37 CFR § 1.129(b))	, <b>l</b>
1203 280	1	2203	140	Multiple dependent claim, if not paid  **Reissue independent claims						<del></del>
1204 84	1 4	2204	42	over original patent	1801	740	2801	370	Request for Continued Examination (RCE)	
1205 18	2	2205	9	** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application	
			SUE	BTOTAL (2) (\$)	Other f	ee (specif	y)			ı <b>.</b>
**or numi	her ore	aviously	naid. if	greater: For Reissues, see above	*Beduc	ed by Bas	sic Filino	Fee Pai	d SUBTOTAL (3) (\$) 16	0.00

SUBMITTED BY Complete (if applicable)								
Name (Print/Type)	Lance K. Ishimoto	Registration No. (Attorney/Agent)	41,866	Telephone	(281) 863-3333			
Signature	Lana K. Johnnots by Dao	is w. Hissen	RES. NO. 41,071	Date	March 13, 2003			

\*Reduced by Basic Filing Fee Paid

\*\*or number previously paid, if greater; For Reissues, see above

SUBTOTAL (3)

WARNING: Information on this form may become public. Credit card Information should not be included on this form. Provide credit card information and authorization of PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Pleastype a plus sign (+) inside this box 🛶 Approval for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control **Application Number** 09/703,253 10/31/00 Filing Date TRANSMITTAL **FORM** First Named Inventor Harras **Group Art Unit** 1647 (to be used for all correspondence after initial filing) **Examiner Name** R. Landsman **LEX-0081-USA** Total Number of Pages in This Submission Attorney Docket Number ENCLOSURES (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Amendment / Reply Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition After Final **Proprietary Information** Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) (please Extension of Time Request Change of Correspondence Address identify below): return postcard **Express Abandonment Request** Terminal Disclaimer Information Disclosure Statement Request for Refund Certified Copy of Priority CD, Number of CD(s) \_ Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 PATENT TRADEMARK OFFICE SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Lance K. Ishimoto Reg. No. 41,866 Individual name Lexicon Genetics Incorporated Lance K. Dhumito by Cavil W. apples 200. 41,071 Signature Date March 13, 2003 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Box AF, P.O. Box 2327, Arlington, VA March 13, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents. Washington, DC 20231.

Date

March 13, 2003

22202 on this date:

Signature

Typed or printed name

Nancy Stacey